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JC914 U.S. PTO  
09/680991  
10/06/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT  
APPLICATION TRANSMITTAL LETTER

Box PATENT APPLICATION  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed for filing is the utility patent application of John MURATA for STREAMING  
SERVER ADMINISTRATION PROTOCOL.

Also enclosed are:

- ☒ 1 sheet(s) of ☐ formal ☒ informal drawing(s);
- ☐ a claim for foreign priority under 35 U.S.C. §§ 119 and/or 365 is ☐ hereby made to     
filed in    on   ;
- ☐ in the declaration;
- ☐ a certified copy of the priority document;
- ☐ a General Authorization for Petitions for Extensions of Time and Payment of Fees;
- ☐ an Assignment document;
- ☐ an Information Disclosure Statement; and
- ☐ Other:
- ☒ An ☐ executed ☒ unexecuted declaration of the inventor(s)  
☒ also is enclosed ☐ will follow.



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09/680991 10/06/00

- ☐ Please amend the specification by inserting before the first line the sentence --This application claims priority under 35 U.S.C. §§ 119 and/or 365 to \_ filed in \_ on \_; the entire content of which is hereby incorporated by reference.--
- ☐ A bibliographic data entry sheet is enclosed.
- ☐ Small entity status is hereby claimed.

☒ The filing fee has been calculated as follows ☐ and in accordance with the enclosed preliminary amendment:

C L A I M S					
	No. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Basic Application Fee					\$710.00 (101)
Total Claims	4	MINUS 20 =	0	× \$18.00 (103) =	0
Independent Claims	4	MINUS 3 =	1	× \$80.00 (102) =	78.00
If multiple dependent claims are presented, add \$270.00 (104)					0
Total Application Fee					788.00
If small entity status is claimed, subtract 50% of Total Application Fee					0
Add Assignment Recording Fee \$ if Assignment document is enclosed					0
<b>TOTAL APPLICATION FEE DUE</b>					<b>788.00</b>

- ☒ This application is being filed without a filing fee. Issuance of a Notice to File Missing Parts of Application is respectfully requested.
- ☐ A check in the amount of \$ \_\_\_\_\_ is enclosed for the fee due.
- ☐ Charge \$ \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800.

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Respectfully submitted,

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## Streaming Server Administration Protocol

### Field of Invention

The present invention relates generally to protocols and more particularly to a network based administration protocol.

### 5 Background of the Invention

10 Server administrators have traditionally used operating system commands, calls and functions to obtain internal server data. With these commands, the server administrator can typically display the server status, display the number of current connections and the detailed information for each connection, display the configuration options for the server, display the configuration options for each server module extension, modify the configuration options for server and module extension, display all server module extensions, display real-time statistics for the server, for example, average number of connections, what streaming applications are being selected and their frequency of selection, the current number of connections and bandwidth used, and display the entire server state for debugging and servicing of problems.

20 Examples of such configuration options referred to above include, the maximum number of connections allowed, the default server directory or list of directories, the maximum or minimum buffer size, the time interval for a process or function, the time duration for a process or function, error messages, enable or disable features, a file or list of files for a feature, or a user or list of users for a feature.

For example, based on the real-time number of connections returned by a streaming server, a web server can dynamically create URL's to different streaming servers to load balance across multiple servers or networks. In another example, based on the real-time file access returned by a streaming server, a web server providing an access page to the streaming servers can dynamically create browser URL's to allow, deny, or redirect access to different streaming files to load balance or control access to users.

Traditional tools available to server administrators, however, require a specific command or network request for a specific data element or set or set of data elements. In most cases, additional data access requires additional commands and changes to the server and administrator software. One disadvantage of a direct mapping between command and data is the lack of the ability for data discovery and a rigid connection between a particular tools's code and the server data it can retrieve, display or process. Furthermore, these tools generally consume server resources when run. The resource allocation for these tools may disadvantageously effect the performance of other applications running on top of the server operating system. When a server is streaming data into a computer network for transmission to the client, such limitations on the performance of the streaming application may disadvantageously result in the interruption of streaming data. For example, in a streaming video application, the interruption of data may result in perceptible distortion or pausing of a video image displayed on a user device connected to the network.

It would be desirous to provide a network software application like a streaming server which would allow server administrators to access server data from any user device in a network. It would be further desirous to provide a streaming server which would allow server administrators to access such data remotely in real time. It would be advantageous to software creators to provide a server data administration feature

requiring a minimum of software changes to existing HTTP based software tools in order to gather information, change information or work with new information stored in a network software application

### Summary of the Invention

5           It is an object of the present invention to overcome one or more disadvantages and limitations of the prior art herein above enumerated.

          It is a further object of the present invention to allow a single mechanism to add, set, delete or retrieve any required server data value.

10           It is yet another object of the present invention to use a query mechanism that defines an array iterator and name lookup.

          It is still a further object of the present invention to allow for data discovery and retrieval of specific data elements.

15           According to the present invention, a novel protocol is implemented in a streaming server module for real time access to network software data from any location within a computer network in which the server resides. The novel protocol supports the use of standard network software programs like web server CGIs and HTTP client software like web browsers for accessing the data from any user device connected to the network or local to the server. The internal network software data structures are modified using the invention's protocol syntax to support a connected  
20   network client software program. The protocol relies on upon the URI mechanism for specifying a container entity using a path, and HTTP 1.0 for specifying request and response mechanisms. The goal of the protocol is to remove the usual

administration protocol mapping between a command and the data it references, and replace this function with a simple HTTP based command with a URI formatted data specifier

5 A feature of the present invention is that the server data is obtainable remotely through the network or locally at the server. A further feature of the present invention is that the administration protocol does not require network transport support.

10 Still further features of the present invention are the ability to provide within the protocol password protected access to the server data whether accessed locally or remotely and multiple simultaneous administrator requests. Another feature is that the protocol allows data discovery and retrieval with various data types, for example, character, integer and floating point.

15 Yet further features of the protocol of the present invention is the ability to provide read and write permissions to the information provided by the access to the server data, and the ability to modify the server state such as session., server and preferences.

Still further features are that the request and response for server data is formatted as an HTTP GET request and response. The response data from the server may also be HTML or XML formatted.

20 Additional features of the present invention is that the protocol allows for specific data requests of server data, server side data filtering, setting of server

attributes, adding, removing and setting server data elements and values, and multiple filters per request for data coherency and performance.

These and other objects advantages and features of the present invention will become apparent to those skilled in the art from a study of the following Description of the Exemplary Preferred Embodiments when read in conjunction with the attached drawing and appended Claims.

#### **Brief Description of the Drawing**

Fig. 1 is a schematic block diagram of a network constructed according to the principals of the present invention.

Fig. 2 is a flow chart of an exemplary process of the present invention.

#### **Description of the Exemplary Preferred Embodiments**

Referring now to Fig. 1, there is shown a computer network 10 constructed according to the principles of the present invention. Network 10 includes a server 12 and a client 14. the communication between the server 12 and the client 14 may be either directly connected or through another medium 16, which may be any of a local area network, wide area network, the Internet, or any other proprietary or public network. Each of the server 12 and client 14 respectively have associated therewith a computer readable medium 18, 20, for storing of programs which implement the processes, procedures and methods of the herein below described inventive protocol.

With reference to Fig. 2, the server 12 receives an HTTP path name, as indicated at 30. At 32, a decision is made whether an administrative module is



identified in the path name. If not and the path name identifies, for example, a typical HTML page, the server would send the HTML page, as indicated at 34. If yes, as indicated at 36, the server 12 process the novel protocol of the present invention, as described in detail herein below. After processing the HTTP path name identifying an administrative module, the administrative data is sent to the client 14, as indicated at 38.

The novel protocol of the present invention support use of CGI's or HTTP client software to develop monitoring and administration software to obtain server data of the type particularly useful to server administrators. To implement such a protocol, abstraction from the internal server data structure of the server on which the protocol resides is required as well as changes to such internal data structure, to support the requests described in greater detail herein below.

The novel protocol of the present invention also allows for network or local access by server administrators to internal server data. The protocol does not require network transport support. The protocol of the present invention relies upon the URI mechanism as defined by RFC2396 for specifying a container entity using a path and HTTP 1.0 RFC 1945 for specifying request and response mechanisms.

More specifically, access to internal server data may be obtained through client software by using the familiar path name of a URL in conventional client software, wherein the path name specifies a container from which such data may be obtained. For example, a path name may be written as URL/module/admin/, wherein module/admin/ is the container. It will become readily apparent from the description herein below that the use of the URL can retrieve data from various levels of container data. The protocol of the present invention in addition to supporting the URL path name, also supports CGI scripting at the server so that commands may be added to the path name.

More specifically, requirements for the implementation of the novel protocol of the present invention are described herein below with respect to specific headings. For clarity and to enable those skilled in the art to practice the present invention, the syntax of the URI is also provided. Reference is made to the Drawing figures to give examples of various requests and responses using herein described protocol.

#### Request Methods

HTTP GET is the primary request method. HTTP POST can be used to perform multiple data requests.

#### Session State

The session is closed at the end of each HTTP request response.

#### Evaluation of POST

A POST Request-URI with a query parameter of command=SET is a set of server data. Body name="value" pairs are required and evaluated as discrete sets.

A POST Request-URI without a query parameter or a query parameter of command=GET is evaluated as a get of a data name or value. Body URL filters are not required.

#### Request Header Features

Authorization

If-Modified-Since

#### Specifying Server Data

All data on the server is specified using an URI

#### Definition of Server URL

Administration URL references directed to the server must specify the "/qtss" base segment and the admin module "/admin". The path names are significant for routing the request to the appropriate request handler.

For example, http://server:port/qtss/admin, references the qtss admin module  
5 which implements the admin protocol

### URI Requests

Always absolute references beginning with the Server URL rule:

[absolute URL] ? [parameters = "value(s)"] + [option="value"]  
10 [option="value"]

example:

/qtss/admin/server/sessions?parameters=rva+snapshots="value"

### URI Rules

/path = absolute reference

15 path = relative reference

path/ = / is defined as any container in the "path" reference

\* = iterate each element in the current location

path/\* = is defined as all elements contained in the "path" reference

. = not supported

20 .. = not supported

; = not supported

? = query options follow ("+" delimited name="value" pairs)

spaces and tabs = stop characters

25 "" = are supported from values and required for values containing spaces and tabs.

### Path Definition

A path represents a virtual hierarchical data structure of containers and is expressed as a URL. The path terminates with an element. The terminating element may be a container.

- 5 Elements are arrays. If there is a single element in the array, then the following URL queries are equivalent: "path/element", "path/element/", "path/element/\*", and "path/element/1" are all evaluated as the same query.

### Query Options

A URI without a '?' defaults to a GET request

- 10 example: /qtss/admin/server/sessioncount

A URI containing a '?' designator must contain a "command=thecommand" query option.

example: /qtss/admin/server/sessioncount?command=GET

Query options are not case sensitive. Query option values are case sensitive.

- 15 Unknown query options are ignored. Query options not required by a command are ignored.

command option:

command=[GET | SET | DEL | ADD]

Unknown command are reported as an error.

- 20 command=GET <- get data identified by URI. ( "<-" = comment)

The GET does not require other query options

Example: GET /qtss/admin/maxcount

command=SET <- set data identified by URI

The command sets the element value referenced by the URL. The required query options are value=

value checking is not performed. Conversion between the text value and the actual value is type specific.

5       Example: GET /qtss/admin/maxcount?command=SET+value=5

optional query options for the SET command

type= < - if defined then type checking of the server element type and the set type is performed. If a match of the stored type and the request type fails, an error is returned an the command fails.

10       Example:

GET /qtss/admin/maxcount?command=SET+value=5+type=SInt32

command=DEL < - delete data identified by the URI. The command deletes the element referenced by the URL. Example:

GET /qtss/admin/maxcount?command=DEL

15       command=ADD < - add data identified by the URI. If the element at the end of the URL is an element the ADD performs an add to the array of elements referenced by the element name.

Required query options are: value= and type=

example: GET /qtss/admin/maxcount ? command=ADD +value=6  
20 +type=SInt16 +access=rw

optional query options for the ADD command are: access=

example: GET /qtss/admin/ ? command=ADD +value=5 +name=maxcount  
type=SInt16 +access=rw

If the element at the end of the URL is a QTSS\_Object container, then an ADD performs a named element add to the container. Required query options in this case are: value=, type= and name=. Example: GET /qtss/admin/ ? command=ADD +value=5 +name=maxcount type=SInt16

5 parameter options:

r = recurse -. walk downward in hierarchy (path?parameters=r and path/\*?parameters=r are evaluated as the same query)

v = verbose -> return full path in name

a = access -> return read/write access

10 t = type -> return type of value

d = debug -> return debugging info with error

c = count -> return count of elements in path

Parameters are always single characters with no delimiters. Parameter options follow the URL, e.g., [URL]?parameters=[p][p],

15 example path/path?parameters=rvat

### Data Types

Data types can be any server allowed text value. New data types can be defined and returned by the server. The data types are: Uint8, Sint8, Uint16, Sint16, Uint32, Sint32, Uint64, Sint64, Float32, Float64, Bool8, Bool16, CharArray, 20 QTSS\_Object, and void\_pointer. QTSS\_Objects, pointers and unknown data types always convert to a host ordered hex value. The values converted are returned in hex. AN example is when adding a new attribute to the server. If the type is not known by the server, then the value returned will be a string of hex values.

### Snapshots

A snapshot is the container of values from which an admin response is generated (the measured values at a particular point in time, as compared to the constantly changing values). There is one active snapshot per admin connection. Each request without a snapshot in the URI generates a new snapshot. There is only one current snapshot per request. Each new snapshot invalidates the previous per admin connection snapshot. A snapshot is a hierarchy of containers specifying the type, name, value and read/write attribute of values copied from the server's internal structures using the module API (Application Program Interface)

Snapshots are always complete copies of the referenced resources, however, the returned data can be filtered by specifying the desired fields in the body of a request.

Response appears as SNAPSHOT:Value

#### Request Definitions

A GET without a snapshot query value is a request which automatically makes a new snapshot. If the snapshot is not returned by the server, then snapshots are not supported.

A POST contains the default set of objects in the URL. The POST body can contain multiple sub URL's performing multiple SET, GET or DEL command operations on the set of data represented by the default URL.

#### Query Options

Options appear at the end of the URI

example: path segment/path segment?option="value",option="value"

#### URI POST Filters

Filters specify a subset of data to be returned on each request. Multiple filters are evaluated in order with each result placed in the response.

Once a filter accepts a value, it is returned.

Order of appearance when recursing is breadth first. Filter defined attributes replace any previous attributes settings for each filter response. The request URI is the default filter. New filters override the parameters defined by the request URI.

5      Response Data

All entity references follow the form [NAME=VALUE] ;  
[attribute="value"], [attribute="value"]

NAME=VALUE

NAME=VALUE;attribute="value"

10      NAME=VALUE;attribute="value";attribute="value"

All container references follow the form  
[NAME/];[attribute="value"],[attribute="value"]

NAME/

NAME/;attribute="value"

15      NAME/;attribute="value";attribute="value"

Root Value

/admin

Errors in Response

20      The error state for the request is always reported with each response at the end  
of the data.

Error:(0) , - no error

Error: (404) < - data not found



Setting Entity values

When changing server values, the entities' name and their values are located in the request body. If a match is made on an entity name, including the URL base at the container level, then the value is set in the server provided the read/write attribute allows the set.

base = base/container  
name = value  
/base/container/name="value"

There has been described herein above a novel protocol for obtaining administrative server data using client software which transmits a URI to the server. Those skilled in the art may now make numerous uses of and departures from the exemplary preferred embodiments disclosed herein without departing from the inventive concepts of the present invention. Accordingly, the present invention is to be defined solely by the scope of the appended Claims.

## The Claims

What is claimed is:

1. A computer readable medium containing a program which implements the following procedure:

5 processing at a network server an HTTP path name generated at a client wherein said HTTP path name includes an identity of a container within said server and an identity of data maintained with said container;

10 processing by an administrative module of said server said path name to generate said data identified in said path name for subsequent transmission to said client;

and transmitting said data to said client.

2. A method for obtaining internal server data locally or remotely across a computer network comprising:

15 processing at a network server an HTTP path name generated at a client wherein said HTTP path name includes an identity of a container within said server and an identity of data maintained with said container;

processing by an administrative module of said server said path name to generate said data identified in said path name for subsequent transmission to said client;

20 and transmitting said data to said client.

3. A computer network comprising:

a streaming server including an admin module and at least one container, said module having a protocol instruction set responsive to HTTP commands, said container being adapted to serve internal server data for said server;

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means responsive to said path name being received at said server for retrieving said administrative from said container and transmitting said administrative data to said client.

### **Abstract of the Disclosure**

Network server information has traditionally been obtained through the use of operating systems functions and calls. By adding URL protocol code to the network server, such data may be obtained by use of a URL. In the URL, path information  
5 for the container in the server where such data resides is included. Specific server data may be retrieved, or such data may be retrieved recursively among several levels of containers. System calls and other functions may also be added to the URL path name for searching for particular data, or similar types of data through successive levels of containers. The protocol allows server administrators to access server data,  
10 in real time, from any user device in a network in which the server resides.

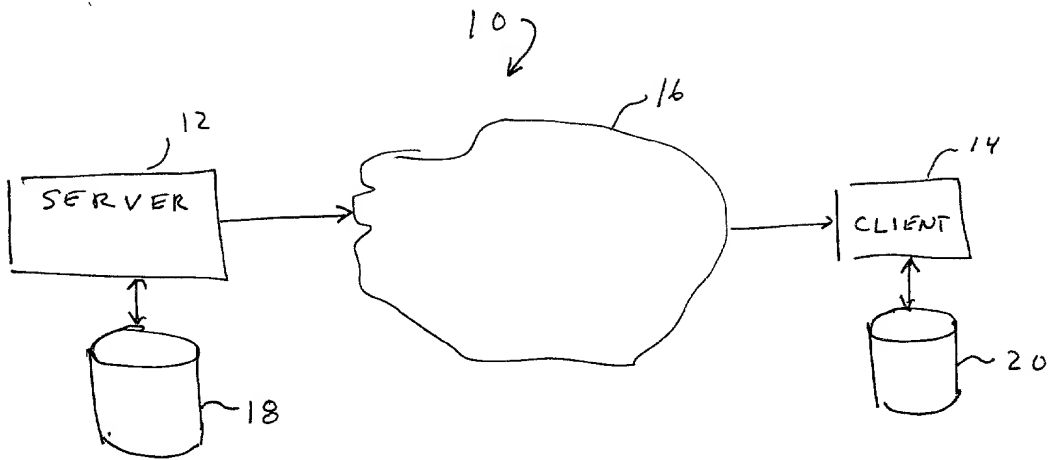


Fig. 1

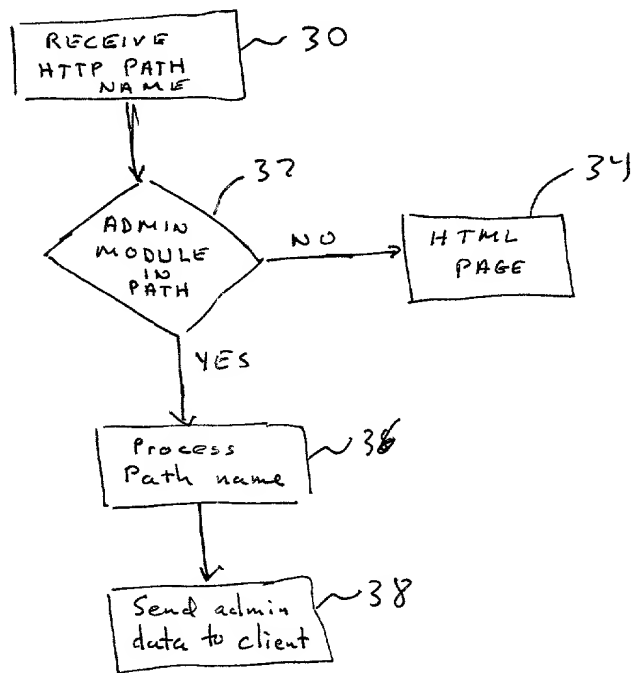


Fig. 2.